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PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
 TRUMAN A. HERRON 1935-1976  
 EDWARD B. EVANS 1936-1971

## FACSIMILE COVER SHEET

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**Fax No:** 571-273-8300

**Pages** (including cover page): 12

**Date:** October 6, 2005

**FROM:** Keith R. Haupt, Esq.  
 Reg. No. 37,638

**Re:** This fax includes: Amendment Transmittal; Preliminary Amendment

Serial No.:	10/602,198	Title:	JAMB AND HEADER SURROUND FOR
Filed:	June 24, 2003		MASONRY WALL
Group Art Unit:	2856	Conf. No.:	2217
Applicant:	P. Michael Collins and Steven E. Schaefer	Our Ref.:	MIK-o6U

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: P. Michael Collins and Steven E. Schaefer

Serial No.: 10/602,198

Examiner: Phi Dieu Tran A

Filed: June 24, 2003

Group No.: 2856

For: JAMB AND HEADER SURROUND FOR  
MASONRY WALL

Conf. No.: 2217

RECEIVED  
CENTRAL FAX CENTERMail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OCT 06 2005

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.
2.  Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
 Enclosed is a verified statement to establish small entity status.  
 Other Than a Small Entity.
3. The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY	LARGE ENTITY	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	Rate	Addit. Fee
TOTAL	*23	MINUS	**24	0	x25	\$0	x50	\$
INDEP.	*4	MINUS	***4	0	x100	\$0	x200	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+180	\$	+360	\$
					TOTAL FEE	\$0	TOTAL FEE	\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest No. Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

No additional fee for claims is required.

Total fee for claims required \$\_\_\_\_\_.

4. Attached is a check in the sum of \$\_\_\_\_\_.

Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a)  Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 120.00	\$ 60.00
<input type="checkbox"/> two months	\$ 450.00	\$ 225.00
<input type="checkbox"/> three months	\$1,020.00	\$ 510.00
<input type="checkbox"/> four months	\$1,590.00	\$ 795.00
<input type="checkbox"/> five months	\$2,160.00	\$1,080.00

Fee: \$\_\_\_\_\_

Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

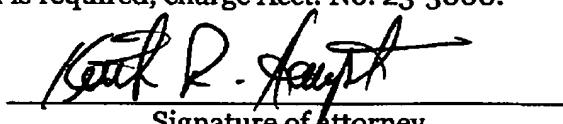
Extension fee due with this request \$\_\_\_\_\_.

OR (b)

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

If any additional fee for claims or extension is required, charge Acct. No. 23-3000.

2700 Carew Tower  
Cincinnati, Ohio 45202  
(513) 241-2324



Signature of Attorney

Keith R. Haupt

Type name of Attorney

Reg. No. 37,638

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Lisa L. Tucker Date

Serial No.: 10/602,198  
Filed: June 24, 2003  
Group Art Unit: 2856  
Examiner: Phi Dieu Tran A  
Applicant: P. Michael Collins and Steven E. Schaefer  
Title: JAMB AND HEADER SURROUND FOR MASONRY WALL  
Conf. No.: 2217

Cincinnati, Ohio 45202

Date: October 6, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Prior to examination on the merits of the pending claims, please amend this application as follows:

**Amendments to the Claims** are reflected in the listing of claims that begins on page 2 of this paper.

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